

United States District Court
District of Massachusetts

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,

Plaintiff,

v.

STEVEN E. NOTHERN,

Defendant.

Civil Action No.
05-10983-NMG

VERDICT FORM

Do you, the jury, unanimously find that the plaintiff, **the United States Securities and Exchange Commission**, has proven, by a preponderance of the evidence, each and every element of its claim that the defendant, **Steven E. Nothern**, engaged in insider trading in violation of Section 10(b) of the Securities and Exchange Act of 1934 and SEC Rule 10b-5?

Yes

X

No

YOUR DELIBERATIONS ARE COMPLETE. THE FOREPERSON WILL SIGN THE VERDICT FORM AND NOTIFY THE MARSHAL IN WRITING THAT THE JURY HAS COME TO A DECISION BUT **DO NOT REVEAL YOUR VERDICT TO THE MARSHAL.** THE JURY WILL THEN BE INVITED TO THE COURTROOM TO RETURN ITS VERDICT.

June 22, 2009
Date

M. Jean Foster
Foreperson